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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/840,188
Filing Date	May 05, 2004
First Named Inventor	Mark Mathis
Art Unit	3731
Examiner Name	NGUYEN, TUAN VAN
Attorney Docket Number	10057-719.201

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	/Tuan Nguyen/	Date Considered	10/26/2007
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		Filing Date	May 05, 2004		
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		Art Unit	3731		
		Examiner Name	NGUYEN, TUAN VAN		
Sheet	2	of	2	Attorney Docket Number	10057-719.201

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/TN/	237	MATHIS et al., U.S. Patent App. # 11/782,490 entitled "Device and method for modifying the shape of a body organ," filed 7/24/2007.	
/TN/	238	Mathis et al., U.S. Patent App. # 11/782,508, entitled "Device and method for modifying the shape of a body organ," filed 7/24/2007.	
/TN/	239	Mathis et al., U.S. Patent App. # 11/782,527 entitled "Device and method for modifying the shape of a body organ," filed 7/24/2007.	

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Sheet 2 of 4**Complete if Known**

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Examiner Name	Nguyen, Tuan Van
Attorney Docket Number	10057-719.201

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/TN/	76	US- 2005/0197694	9/8/2005	Pai et al.	
	77	US- 2005/0209690	9/22/2005	Mathis et al.	
	78	US- 2005/0216077	9/29/2005	Mathis et al.	
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	83	US- 2006/0030882	2/9/2006	Adams et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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Sheet	3	of	4
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Complete if Known

Application Number	10/840188
Filing Date	May 05, 2004
First Named Inventor	Mark Mathis
Art Unit	3731
Examiner Name	Nguyen, Tuan Van
Attorney Docket Number	10057-719.201

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/TN/	119	US- 5,978,705	11/2/1999	KenKnight et al.	
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	132	US- 6,254,628	7/3/2001	Wallace et al.	
	227	US- 6,267,783	7/31/2001	Letendre et al	
	135	US- 6,345,198	2/5/2002	Mouchawar et al.	
	151	US- 6,629,534	10/7/2003	St. Goar et al.	
	152	US- 6,629,994	10/7/2003	Gomez et al.	
	153	US- 6,643,546	11/4/2003	Mathis et al.	
	156	US- 6,709,425	3/23/2004	Gambale et al.	
	228	US- 6,721,598	4/13/2004	Holland et al	
	160	US- 6,733,521	5/11/2004	Chobotov et al.	
	226	US- 6,821,297	11/23/2004	Snyders, Robert	
	223	US- 6,827,690	12/7/2004	Bardy, Gust H	
	172	US- 6,964,683	11/15/2005	Kowalsky et al.	
	173	US- 6,966,926	11/22/2005	Mathis	
		US-			
		US-			
		US-			

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		Filing Date	May 05, 2004
		First Named Inventor	Mark Mathis
		Art Unit	3731
		Examiner Name	Nguyen, Tuan Van
Sheet	4	of	4
		Attorney Docket Number	10057-719.201

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/TN/	178	Mathis, et al; U.S. Pat. App. # 11/279,352, entitled "Mitral Valve Annuloplasty Device with Vena Cava Anchor," filed 04/11/2006 (SLG # 10057-739.201).	
/TN/	229	Mathis, Mark; U.S. Pat. App. # 11/655,710, entitled "Mitral Valve Device Using Conditioned Shape Memory Alloy," filed 1/18/2007 (SLG # 10057-714.301).	
/TN/	219	GRAY, H. Anatomy of the Human Body. The Systemic Veins. Philadelphia: Lea & Febiger, 1918; Bartleby.com. 2000. Available at www.bartleby.com/107/. Accessed June 7, 2006.	
/TN/	220	Heartsite.com. Echocardiogram, 1999; p.1-4. A.S.M. Systems Inc. Available at: http://www.heartsite.com/html/echocardiogram.html. Accessed July 1, 2005.	

Examiner Signature	/Tuan Nguyen/	Date Considered	10/26/2007
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/840,188
				Filing Date	May 5, 2004
				First Named Inventor	Mark Mathis
				Art Unit	1614
				Examiner Name	Not yet assigned
Sheet	1	of	8	Attorney Docket Number	29912-719.201

U.S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
/TN/		US-2001/0018611	08/30/2001	Solem et al.	
		US-2001/0044568	11/22/2001	Langberg et al.	
		US-2001/0049558	12/06/2002	Liddicoat et al.	
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		US-2003/0083538 (6,949,122)	05/01/2003	Adams et al.	
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				Examiner Name	Not yet assigned
Sheet	2	of	8	Attorney Docket Number	29912-719.201

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/TN/		US-2003/0144697	07/31/2003	Mathis et al.	
		US-2003/0171776	09/11/2003	Adams et al.	
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Sheet	3	of	8	Attorney Docket Number	29912-719.201

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		US-2005/0137451	06/23/2005	Gordon et al.	
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		US-5,733,325	03/31/1998	Robinson et al.	
		US-5,824,071	10/20/1998	Nelson et al.	
		US-5,891,193	04/06/1999	Robinson et al.	

Examiner Signature	Date Considered
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/840,188
				Filing Date	May 5, 2004
				First Named Inventor	Mark Mathis
				Art Unit	1614
				Examiner Name	Not yet assigned
Sheet	4	of	8	Attorney Docket Number	29912-719.201

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/TN/		US-5,895,391	04/20/1999	Farnholtz	
		US-5,899,882	05/04/1999	Waksman et al.	
		US-5,908,404	06/01/1999	Elliot	
		US-5,928,258	07/27/1999	Khan et al.	
		US-5,935,161	08/10/1999	Robinson et al	
		US-5,961,545	10/05/1999	Lentz et al.	
		US-5,984,944	11/16/1999	Forber	
		US-6,027,517	02/22/2000	Crocker et al.	
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		US-6,129,755	10/10/2000	Mathis et al.	
		US-6,171,320	01/09/2001	Monassevitch	
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		US-6,275,730	08/14/2001	KenKnight et al.	
		US-6,342,067	01/29/2002	Mathis et al.	
		US-6,352,553	03/05/2002	van der Burg et al.	
		US-6,352,561	03/05/2002	Leopold et al.	
↓		US-6,358,195	03/19/2002	Green et al.	
		US-6,395,017	05/28/2002	Dwyer et al.	
		US-6,402,781	06/11/2002	Langberg et al.	
		US-6,419,696	07/16/2002	Ortiz et al.	

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				Application Number	10/840,188
				Filing Date	May 5, 2004
				First Named Inventor	Mark Mathis
				Art Unit	1614
Examiner Name	Not yet assigned				
Sheet	5	of	8	Attorney Docket Number	29912-719.201

U.S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
/TN/		US-6,442,427	08/27/2002	Boute et al.	
		US-6,503,271	01/07/2003	Duerig et al.	
		US-6,537,314	03/25/2003	Langberg et al.	
		US-6,565,221	12/02/2003	Taylor et al.	
		US-6,569,198	05/27/2003	Wilson et al.	
		US-6,589,208	07/08/2003	Ewers et al.	
		US-6,602,288	08/05/2003	Cosgrove et al.	
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		US-6,623,521	09/23/2003	Steinke et al.	
		US-6,626,899	03/21/2002	Houser et al.	
		US-6,656,221	12/02/2003	Taylor et al.	
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		US-6,716,158	04/06/2004	Raman et al.	
		US-6,718,985	04/13/2004	Hlavka et al.	
		US-6,723,038	04/20/2004	Schroeder et al.	
		US-6,764,510	07/20/2004	Vidlund et al.	
		US-6,776,784	08/17/2004	Ginn	
		US-6,790,231	09/14/2004	Liddicoat et al.	
		US-6,793,673	07/01/2004	Kowalsky et al.	
		US-6,797,001	09/11/2003	Mathis et al.	
		US-6,800,090	11/14/2002	Alferness et al.	
		US-6,810,882	11/02/2004	Langberg et al.	
		US-6,824,562	11/13/2003	Mathis et al.	
		US-6,908,478	06/05/2003	Alferness et al.	

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				Filing Date	May 5, 2004
				First Named Inventor	Mark Mathis
				Art Unit	1614
				Examiner Name	Not yet assigned
Sheet	6	of	8	Attorney Docket Number	29912-719.201

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² - Number ³ - Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
/TN/		EP 0893133	01/27/1999	Medex		✓
		EP 1050274-A1	11/08/2000	Medical Industries Corporation		
		EP 1095634-A2	05/02/2001	Cordis Corporation		
		GB 0741604	12/07/1955	S. & R. J. Everett & Company		
		WO 98/056435-A1	12/17/1998	Micro Therapeutics, Inc.		
		WO 00/044313-A1	08/03/2000	Viacor, Inc.		
		WO 00/060995-A3	10/19/2000	Evalue, Inc.		
		WO 01/000111-A1	01/04/2001	Solem et al.		
		WO 01/050985-A1	07/19/2001	Viacor, Inc.		
		WO 01/054618-A1	08/02/2001	Mitralife		
		WO 01/087180-A2	11/22/2001	Gaber		
		WO 02/000099-A2	01/03/2002	Viacor, Inc.		
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		WO 02/005888-A1	01/24/2002	Viacor, Inc.		
		WO 02/019951-A1	03/14/2002	Viacor, Inc.		
		WO 02/034118-A2	05/02/2002	Viacor, Inc.		
		WO 02/047539-A2	06/20/2002	Viacor, Inc.		
		WO 02/053206-A2	07/11/2002	Cardiac Dimensions, Inc.		
		WO 02/062263-A2	08/15/2002	Viacor, Inc.		
		WO 02/062270-A1	08/15/2002	Solem et al.		
		WO 02/062408-A2	08/15/2002	Viacor, Inc.		
		WO 02/076284-A2	10/03/2002	Viacor, Inc.		
		WO 02/078576-A2	10/10/2002	Viacor, Inc.		
		WQ 02/096275-A2	12/05/2002	Viacor, Inc.		
		WO 03/015611-A2	02/27/2003	Viacor, Inc.		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/840,188
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Filing Date	May 5, 2004
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First Named Inventor	Mark Mathis
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Art Unit	1614
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Examiner Name	Not yet assigned
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Sheet	7	of	8
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Attorney Docket Number	29912-719.201
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FOREIGN PATENT DOCUMENTS

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Examiner Signature	/Tuan Nguyen/	Date Considered	10/26/2007
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				First Named Inventor	Mark Mathis
				Art Unit	1614
				Examiner Name	Not yet assigned
Sheet	8	of	8	Attorney Docket Number	29912-719.201

[illegible]

Examiner signature	<i>ham Nguyen</i>	Date Considered	10/26/07
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